#### **LEENet: Learned Early Exit Network**

**Learning Optimal Early Exit Policy for Efficiency Improvements in DNNs**

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# **Project Information**



#### **What is Early Exit?**

- Place classifiers at **multiple** locations throughout the model
- At each potential exit, a **confidence value** dictates whether to use the exit
	- If threshold met, classify image





#### **Our Approach**

- Insert "gate layer" to decide whether to exit
- Learn gate layer parameters to optimize accuracy/cost tradeoff
- Insert hyperparameters for tuning tradeoff





# **System Architecture + Pretrained Components**



#### **Open Source Components Utilized**

- Model Architectures:
	- ResNet50: [TorchVision](https://pytorch.org/vision/main/models/generated/torchvision.models.resnet50.html)
	- VGG11: [TorchVision](https://pytorch.org/vision/main/models/generated/torchvision.models.vgg11.html)
	- DenseNet121: [TorchVision](https://pytorch.org/vision/main/models/generated/torchvision.models.densenet121.html)
- Datasets
	- ImageNetTE: [HuggingFace](https://huggingface.co/datasets/frgfm/imagenette)
		- 12,000 320x320 images, 10 classes
	- CIFAR-10: [HuggingFace](https://huggingface.co/datasets/cifar10)
		- 6,000 32x32 images, 10 classes
	- CIFAR-100 [HuggingFace](https://huggingface.co/datasets/cifar100)
		- 60,000 32x32 images, 100 classes
- Previous Early Exit Implementation Code:
	- EENet: Learning to Early Exit for Adaptive Inference (Ilhan et al.)
		- Paper: **arXiv**
		- Code: [GitHub](https://github.com/git-disl/EENet)



#### **System Architecture**

- OptionalExitModule
	- Wrapper class for converting a pretrained layer into an exit layer
- EarlyExitModel
	- Wrapper class for converting a pretrained model into a LEENet model
- **EarlyExitTrainer** 
	- All logic for training classifier heads and gate layers
- main.ipynb
	- Jupyter notebook for creating LEENet models / training exit classifiers
- alpha\_tuning.ipynb
	- Jupyter notebook for training gate layers with varying alpha values
- exit\_vis.ipynb
	- Jupyter notebook for calculating data metrics and generating test visualizations
- alpha\_tuning\_results.ipynb
	- Jupyter notebook for generating frontier curves for all trained model alpha values



**Code Walkthrough - Model Wrapper**

trv:

class EarlyExitModel(nn.Module):

def \_init\_(self, model, num\_outputs, device):

#### def forward(self, X): batch\_size, \*sample\_shape = X.shape if self.state  $=$  TrainingState.INFER: if torch.cuda.is\_available() and len(self.exit\_modules) > 0: last layer y hat = None for stream in [module.stream for module in self.exit\_modules if module.stream is not None]: stream.synchronize() # wait for all multithreaded classifiers to finish # y hat of layer where there are no remaining images to push forward in the model. All samples have exited early\_exit\_y\_hat = None y\_hat = torch.empty((batch\_size, self.num\_outputs), device=self.device)  $last_{layer_yhat} = self.model(X)$ remaining\_idx = torch.arange(batch\_size, device=self.device) except EarlyExitException as e: early\_exit\_y\_hat =  $e.y_h$ \_hat self.num exits per module =  $[]$ if self.state = TrainingState.TRAIN CLASSIFIER EXIT or self.state = TrainingState.TRAIN CLASSIFIER FORWARD: for exit\_module in self.exit\_modules: if last laver y hat is not None: if len(remaining\_idx) =  $0$ : # if forward pass made it to the back of the layer, get the last layer y\_hat self.num\_exits\_per\_module.append(0) return last layer y hat continue # if exit occured before last classifier, get v hat where exit occured self.num\_exits\_per\_module.append(exit\_module.exit\_taken\_idx.sum().item()) return early\_exit\_y\_hat # use indices of exits taken in the model's (reduced) batched to obtain the translated original index within the original batch original\_idx = remaining\_idx[exit\_module.exit\_taken\_idx] if self.state = TrainingState.TRAIN EXIT: if len(original idx) =  $0$ : continue assert last\_layer\_y\_hat is not None, "Forward propagation should have made it to the end of the model" y\_hat[original\_idx] = exit\_module.y\_hat y\_hats = torch.empty((batch\_size, len(self.exit\_modules) + 1, self.num\_outputs), device=self.device) # mirroring how the batch is reduced by the exit module, reduce index look up array the same way exit confidences = torch.empty((batch size, len(self.exit modules)), device=self.device) remaining\_idx = remaining\_idx[~exit\_module.exit\_taken\_idx] for i, exit\_module in enumerate(self.exit\_modules): # if even after going through each early exit layer, there are samples that did not exit, grab the y\_hat from terminal classifier  $y_hats[:, i] = exit_model.y_hat$ if len(remaining  $idx$ ) > 0:  $exit_{\text{confidences}}[:, i] = exit_{\text{module.exit\_confidences}}$ y\_hat[remaining\_idx] = last\_layer\_y\_hat self.num\_exits\_per\_module.append(len(remaining\_idx))  $y_hats[:, -1] = last_layer_y_hat$ else: self.num\_exits\_per\_module.append(0) return y hats, exit confidences

return y\_hat



# **Training Process**



#### **Training Process**

- Train each early exit classifier
	- These can be trained individually since they are unrelated
	- **Loss: Categorical Cross Entropy**
	- **• Data Ratio:** 80/20 Train/Test
- Train final classification layer
	- This is just transfer learning onto your dataset
	- **• Loss:** Categorical Cross Entropy
	- **Data Ratio:** 80/20 Train/Test
- Train gate layers
	- These have to be trained at the same time
	- **Loss**: Custom Loss Function (next slides)
	- **Data Ratio:** 80/20 Train/Test





#### **OptionalExitModule Forward Pass**

- Input gets flattened
	- Output Size: (n, )
- Dot product with gate layer parameters
	- Output Size: (1,)
- Decide whether to exit
	- Gate layer output above threshold
- If exit, classify n input to logits
	- Output Size: (n\_classes,)
- If not exit, feed through original network





class OptionalExitModule(nn.Module):

def init (self, module, num outputs):

#### **Code Walkthrough - Early Exit Module**

 $def forward(self, X):$ 

```
# Check the device of input tensor X and move necessary components to the same device
self.current_device = X.device
```

```
X_flat = torch.flatten(X, start_dim=1).to(self.current_device)
-, flat_size = X_flat.shape
```

```
# Create exit gate and classifier at runtime to adapt to module input size
if self.exit_gate is None:
    self.exit_gate = nn.Linear(flat_size, 1).to(self.current_device)
if self.classifier is None:
    self.classifier = nn.Linear(flat_size, self.num_outputs).to(self.current_device)
```

```
if self.state = TrainingState.TRAIN CLASSIFIER EXIT:
    return self.forward_train_classifier_exit(X, X_flat)
elif self.state = TrainingState.TRAIN_CLASSIFIER_FORWARD:
    return self.forward_train_classifier_forward(X, X_flat)
elif self.state = TrainingState.TRAIN_EXIT:
    return self.forward_train_exit(X, X_flat)
if self.state = TrainingState. INFER:
    return self.forward_infer(X, X_flat)
```


#### **Code Walkthrough - Classifier Training**

```
# MARK: - Training Classifiers
def train classifier epoch(self, train loader, epoch, validation loader=None):
    self_model.train()net loss = 0.0net_accuracy = 0.0validation loss = 0.0
```

```
validation accuracy = 0.0
```
self.progress\_bar = tqdm(train loader, desc=f'Epoch {epoch}', ncols=100, leave=False)

```
for i, (X, y) in enumerate(self.progress_bar):
   X = X.to(self.device)y = y.to(self.device)y_hat = self.model(X)
```
trainable params = filter(lambda p: p.requires grad, self.model.parameters()) optimizer = torch.optim.Adam(trainable params, lr=0.0001)

```
optimizer.zero grad()
```
 $loss = self.classifier_loss function(y_hat, y)$  $accuracy = self.calculate_accuracy(y_hat, y)$ 

```
net_loss += loss.item()net_accuracy += accuracy
```
loss.backward() optimizer.step()

# Update and display the progress bar at the end of each epoch self.progress\_bar.set\_postfix({"Loss": loss.item(), "Accuracy": accuracy})



#### **Code Walkthrough - Gate Training**

# MARK: - Training Exits def train exit epoch(self, train loader, lr, epoch, validation loader=None): self.model.train()

net loss =  $0.0$ validation accuracy =  $0.0$ validation time =  $0.0$ 

self.progress\_bar = tqdm(train\_loader, desc=f'Epoch {epoch}', ncols=100, leave=False)

```
for i, (X, y) in enumerate(self.progress_bar):
   X = X.to(self.device)y = y.to(self.device)y hats, exit confidences = self.model(X)
```

```
trainable params = None
# concatenate all trainable parameters as gate layers
for exit_layer in self.model.exit_modules:
    if trainable_params is None:
        trainable_params = list(filter(lambda p: p.requires_grad, exit_layer.exit_gate.parameters()))
    else:
        trainable_params += list(filter(lambda p: p.requires_grad, exit_layer.exit_gate.parameters()))
```
optimizer = torch.optim.Adam(trainable params,  $l r = l r$ )

```
optimizer.zero_grad()
```
loss, ce part, cost part = self.gate loss function(y, y hats, exit confidences, self.model.costs)

```
net_loss += loss.item()
```
loss.backward() optimizer.step()

# Update and display the progress bar at the end of each epoch self.progress\_bar.set\_postfix({"Loss": loss.item()})

```
# Optionally, calculate validation metrics
if validation loader is not None and i % (len(train loader) // 10) = 0:
    validation_accuracy, validation_time, exit_idx = self.validate_exit_gates(validation_loader)
```


# **Custom Gate Loss Function (Batch Size** *n***)**  $\label{eq:loss} \text{loss} = \frac{1-\alpha}{n} \left[ \sum_{(X,y,\hat{y},g)} \left( \sum_{i=0}^{|g|} \left( \text{CE}(y,\hat{y}_i) \cdot g_i \prod_{j=0}^{i-1} \bar{g}_j \right) \right) \right] + \left[ \sum_{(X,y,\hat{y},g)} \left( \sum_{i=0}^{|g|} \left( \text{costs}_i \cdot g_i \prod_{j=0}^{i-1} \bar{g}_j \right) + \text{costs}_{|g|} \prod_{j=0}^{|g|} \bar{g}_j \right) \right] \frac{\alpha}{n}$ Minimize CE Loss (Maximize Accuracy) Minimize Computational Cost (Maximize Efficiency) Control Weighting of Accuracy + Cost



#### **Maximizing Accuracy**



- Iterate over all images in the batch
- Calculate product summed over all gates:
	- Cross Entropy loss of:
		- **y** : true classification
		- $\cdot$   $\hat{\mathbf{y}}_{i}$ : predicted classification logits from gate i
	- Probability of exiting at gate i:
		- **• g**: exit confidence for gate i (larger means more confident)
		- $\bar{g}$  : forward confidence for gate j (equal to 1-g<sub>i</sub>)

#### **Minimize this term for higher net model accuracy**



#### **Maximizing Efficiency**



- Iterate over all images in the batch
- Calculate product summed over all gates:
	- Cost of exiting at gate i:

•

- Derived as **% parameters utilized after the first exit**
- Value ranges from **[0, 1]**
- Normalized so **c[0] = 0 and c[-1] = 1**
- Probability of exiting at gate i:
	- **• g**: exit confidence for gate i (larger means more confident)
	- $\bar{g}_i$ : forward confidence for gate i (equal to 1-g<sub>i</sub>)
- **• Minimize this term for lower net inference cost**



## **Controlling Accuracy/Cost Tradeoff**  $\log_{\pi} \left| \frac{1-\alpha}{n} \right| = \left| \frac{\alpha}{\alpha} \right|$



- Alpha weights both subequations of our loss function
	- $\circ$  In the range  $[0, 1]$
- Changing alpha allows for the loss function to tailor model behavior
	- **α = 0** : weight loss for accuracy only
	- **α = 1** : weight loss for computational efficiency only
	- **α > 0, α < 1** : weight both accuracy and computational cost
- **Optimal alpha depends** on the underlying dataset
	- Different datasets have varying tradeoffs between accuracy and efficiency
- Alpha increases the interpretability of our methodology
	- Single hyperparameter that easily allows for emphasizing model behaviors



## **Project Results (across varying alpha values)**



#### **Results (Frontier Curves for All Models)**

#### **1.47x** DenseNet121/CIFAR-100 ResNet50/ImageNetTE VGG11/CIFAR-10



- In the above curves, we can see a general trend of **as accuracy increases, computational cost (time) also increases**.
	- Furthermore, we can observe that **alpha tends to decrease as accuracy and time increase**. This corresponds with with our loss function.
- Another interesting observation is the subtle difference in the accuracy vs time trend lines for each model.
	- DenseNet121 has more of a **linear** shape.
	- ResNet50 **slowly increases and then sharply increases** as alpha begins to decrease , it is also interesting to note most alpha values are clustered in the upper right quadrant of the graph.
	- VGG11 has a **somewhat linear shape**; however **once alpha is below 0.5**, most of the **accuracy stays about the same yet time increases**.



#### **Results (DenseNet121/CIFAR-100, α=0.55)**



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#### **Results (ResNet50/ImageNetTE, α=0.75)**





#### **Results (VGG11/CIFAR-10, α=0.5)**





#### **Correct Examples: Wolf (VGG11/CIFAR100, α=0.6)**







## **Exit 2 of 5**

Consistent Face Scale and Position







#### **Exit 3 of 5**

Discoloration, scale and position variation



#### **Incorrect Examples: Wolf (VGG11/CIFAR100, α=0.6)**





**Fox Seal Fox**



#### **Exit 2 of 5**

Subject/background interference





**Rabbit Flatfish Turtle**



#### **Exit 3 of 5**

Misleading subject representation



#### **DenseNet121/CIFAR-100 Sunflower Predictions (α=0.55)**



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*- 5.9% Incorrect*

#### **Next Steps**

#### **• Code cleaning + implementation improvements**

- Parallel processing of classification heads
- **• Improve time savings**
	- Changing gate and classifier architectures
- **• Allow users to query for max inference budget**
	- Binary search alpha terms to find most accurate model meeting budget constraint
- Train on a large set of different datasets/ML models
	- AlexNet, MSDNet, LLMs(?), ......
- Continue to do comparison studies with previous work



### **Max Inference Budget Optimization (Coming Soon)**

- Mirror approach by EENet (Ilhan et al.)
- Convert α to latent variable
- Treat time as the dominant hyperparameter
	- User requests a model that is faster than without Early Exit (ex: 2.0x, 1.5x, .....)
	- User requests a model that on average runs within time budget (ex: 5ms, 3ms, ...)
- Modified model training process
	- Perform binary search over α
	- Begin with  $\alpha = 0.5$
	- If speedup is too low, increase alpha -- boosts efficiency, compromises accuracy
	- If speedup is too high, reduce alpha -- boosts accuracy, compromises efficiency



### **Gate Input Dimensionality Reduction (Coming Soon)**

- Currently, exit gate infers over all (flattened) module inputs
	- Input size can grow exponentially as model layers accumulate
	- VGG11 first gate layer over 8k inputs
		- Computational overhead, missed efficiency opportunity
		- Poor decision generalization
- Re-architect exit gate structure
	- Efficiently and uniformly collapse inputs into smaller size
	- Before gate linear layer
		- Avg. Pool / Max Pool
			- Marginalize across channels
			- Perform binning within each channel
			- Combine both
		- Dropout
			- Randomly accept/reject inputs



#### **Parallel Computing Optimization (Coming Soon)**

- Early exits operate at batch level
	- Some samples may exit, some continue forward
- Branch formed in computation graph
	- Divergent branches can be computed in parallel
- Implementation considerations
	- Pytorch natively allows for distributed load over multiple GPUs (Cuda)
		- Sequential processing on single GPU
	- Delegating Cuda tasks over Python threads (GIL) causes system errors
		- Tensors do not serialize/deserialize across threads

